

Soc/PA/Law 596f: Theory and Research on the Nonprofit Sector
Spring, 2005
Thursdays, 3:30-6:00 PM
Law 203

Instructors:

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Overview

Course Overview: This course examines important topics related to the nonprofit sector. Like other disciplines (e.g., economics, political science, psychology, and history) sociologists have their own theories about the origins of nonprofits, their growth, their roles in markets, political arenas, and civil society, their financial support, and their demise. Public administration takes a different approach, they have usually viewed nonprofits as partners with the state in the delivery of services. This course will review major works from other social science disciplines and law, but will analyze in detail the work of sociologists and public management scholars. Hopefully, by comparing the ideas of sociologists to other disciplines and integrating these into the public administration agenda and law, we can develop a fuller and more useful perspective on the sector.

Class Format: The course requirements are that you attend every session and do the readings. You should be able to offer some insights on all the required readings in the course of the class discussion, but you will not be graded on your participation. You are also required to write six two-page, single spaced critical reviews during the course of the semester. These can be on either required or supplementary readings. You choose. These will be graded. Your critical reviews will count for 60% of your grade (10% each).

Written Assignments: You are also required to write a research paper (10-15 pages of text, double-spaced). It may take the form of a literature review, a research proposal, a case study, or a research note (e.g., analysis of secondary data). You need to have your topic approved by the instructor at the beginning of the semester (by the end of the 3rd week). The grade on the paper will count for 40% of your grade. The paper will be due the last day of class, April 28th. We will accept incompletes up until May 31, 2005, without penalty. We will not accept papers after that date and will assign a failing grade for the assignment. Students may take the course pass/fail, but must do all the assignments and receive an overall grade of "B-" or better to receive a passing grade.

Type of Exam: No exam.

Basis for Grading Student Performance: Critical review essays (60%) and research paper (40%).

The seven books (Frumkin, Fremont-Smith, Minow, Ostrower, Himmelstein, Wuthnow, and Berry) can be purchased from the bookstore (* indicates ordered from bookstore) or you can go on-line and order them yourself (e.g., half.com or amazon.com). They will also be put on reserve in the library. You are not required to buy the books, since you are only required to read selected chapters from some. The articles and chapters are on electronic reserve. The password is “nonprofit”. The address is: <http://eres.library.arizona.edu>. A hard copy of the articles and chapters (but not the books) will be in the Sociology Reading and Study Room, Social Science 418.

Readings (Required are marked with an *):

January 13 Introduction

*Peter Frumkin. 2002. On Being Nonprofit. Cambridge, MA: Harvard University Press, Chapter 1.

Lester M. Salamon. 2002. “The Resilient Sector: The State of Nonprofit America.” Pp. 3-61 in The State of the Nonprofit Sector, edited by Lester M. Salamon. Washington, D.C.: Brookings Institution Press.
(http://www.brookings.org/dybdocroot/press/books/chapter_1/thestateofnonprofitamerica.pdf)

January 20 Disciplinary Perspectives on the Sector

*Peter Dobkin Hall. 1987. “A Historical Overview of the Private Nonprofit Sector.” Pp. 3-26n The Nonprofit Sector: A Research Handbook, edited by Walter W. Powell. New Haven, CT: Yale University Press.

*Paul DiMaggio and Helmut K. Anheier. 1990. “A Sociological Conceptualization of Non-Profit Organizations and Sectors.” Annual Review of Sociology, 16:137-59.

Burt Weisbrod. 1988. “Nonprofits in a Mixed Economy.” The Nonprofit Economy. Cambridge, MA: Harvard University Press. Chapters 1.

Lester M. Salamon. 1995. “Of Market Failure, Voluntary Failure, and Third Party Government: Toward A Theory of Government-Nonprofit Relations in the Modern Welfare State.” In Partners in Public Service: Government-Nonprofit Relations in the Modern Welfare State, by Lester M. Salamon. Baltimore, Maryland: The Johns Hopkins University Press.

January 27 The Law and the Nonprofit Sector

*Marion R. Fremont-Smith. 2004. "A Brief History of the Law of Charities." Governing Nonprofit Organizations: Federal and State Law and Regulation. Cambridge, MA: Belknap/Harvard University Press. Chapter 2.

*John G. Simon. 1987. "The Tax Treatment of Nonprofit Organizations: A Review of Federal and State Policies." In The Nonprofit Sector: A Research Handbook, edited by Walter W. Powell. New Haven, CT: Yale University Press.

Evelyn Brody. Forthcoming. "The Legal Framework for Nonprofit Organizations." In The Nonprofit Sector: A Research Handbook, 2nd edition, edited by Walter W. Powell and Richard Steinberg. New Haven, CT: Yale University Press.

February 3 Political Theories of Nonprofits: Government Subcontracting and the Hollow State

*H. Brinton Milward and Keith G. Provan, "Governing the Hollow State" Journal of Public Administration Research and Theory, 10:359-379.

*Lester M. Salamon. 1995. "Rethinking Public Management." In Partners in Public Service: Government-Nonprofit Relations in the Modern Welfare State, by Lester M. Salamon. Baltimore, Maryland: The Johns Hopkins University Press.

Lester M. Salamon. 1995. "The Marketization of Welfare." In Partners in Public Service: Government-Nonprofit Relations in the Modern Welfare State, by Lester M. Salamon. Baltimore, Maryland: The Johns Hopkins University Press.

February 10 Political Theories of Nonprofits: Government Subcontracting and the Hollow State (Keith Provan visit)

*Martha Minow. 2002. Partners, Not Rivals: Privatization and the Public Good. Boston: Beacon Press.

Keith G. Provan, Kimberly R. Isett, and H. Brinton Milward. 2004. "Cooperation and Compromise: A Network Response to Conflicting Institutional Pressures in Community Mental Health." Nonprofit and Voluntary Sector Quarterly, 33: 489-514.

Keith G. Provan, H. Brinton Milward, and Kimberly Roussin Isett. 2002. "Collaboration and Integration of Community-Based Health and Human Services in a Nonprofit Managed Care System." Health Care Management Review, 27:21-32.

Keith G. Provan and H. Brinton Milward. 1995. "A Preliminary Theory of Network Effectiveness: A Comparative Study of Four Community Mental Health Systems." Administrative Science Quarterly, 40:1-33.

February 17 Political Theories of Nonprofits: Political Participation and Democracy

*Peter Frumkin. 2002. On Being Nonprofit. Cambridge, MA: Harvard University Press, Chapter 2.

*J. Craig Jenkins. Forthcoming. “Nonprofit Organizations and Political Advocacy.” In The Nonprofit Sector: A Research Handbook, 2nd edition, edited by Walter W. Powell and Richard Steinberg. New Haven, CT: Yale University Press.

*Mark Chaves, Laura Stephens, and Joseph Galaskiewicz. 2004. “Does Governmental Funding Suppress Nonprofits’ Political Activity?” American Sociological Review, 69:292-316.

*Marwell, Nicole P. 2004. “Privatizing the Welfare State: Nonprofit Community-Based Organizations as Political Actors.” American Sociological Review, 69: 265-91.

Berry, Jeffrey M. with David F. Arons. 2003. A Voice for Nonprofits. Washington, D.A.: Brookings Institution Press.

February 24 Political Participation on Nonprofits: The Issue of Faith-Based Nonprofits (Steve Smith, Mark Chaves, and Rebecca Sager visit)

*Peter Frumkin. 2002. On Being Nonprofit. Cambridge, MA: Harvard University Press, Chapter 4.

*Mark Chaves. “Debunking Charitable Choice: The Evidence Doesn’t Support the Political Left or Right.” Stanford Social Innovation Review, 1:28-36.

Mark Chaves. 2004. “Congregations and Social Services.” Congregations in America. Cambridge, MA: Harvard University Press. Chapter 3.

March 3 Political Participation: Theories of Social Capital and Political Engagement (Pamela Paxton visit)

*Robert Wuthnow. 1998. Loose Connections: Joining Together in America’s Fragmented Communities. Cambridge, MA: Harvard University Press.

*Pamela Paxton. 1999. “Is Social Capital Declining in the United States? A Multiple Indicator Assessment.” American Journal of Sociology, 105:88-127.

*Pamela Paxton. 2002. “Social Capital and Democracy: An Interdependent Relationship.” American Sociological Review, 67:254-277.

Robert Putnam. 1995. "Bowling Alone: America's Declining Social Capital." Journal of Democracy, 6:65-78.

Charles E. Tolbert, Thomas A. Lyson, and Michael D. Irwin. 1998. "Local Capitalism, Civic Engagement, and Socioeconomic Well-Being." Social Forces, 77:401-428.

March 10 Joining, Giving and Volunteering

*Evan Schofer and Marion Fourcade-Gourinchas. 2001. "The Structural Contexts of Civic Engagement: Voluntary Association Membership in Comparative Perspective." American Sociological Review, 66:806-828.

*Healy, Kieran. 2004. "Altruism as an Organizational Problem: The Case of Organ Procurement." American Sociological Review, 69:387-404.

Marc A. Musick, John Wilson, and William B. Bynum Jr. 2000. "Race and Formal Volunteering: The Differential Effects of Class and Religion." Social Forces, 78:1539-71.

Thomas Rotolo. 2000. "A Time to Join, A time to Quit: The Influence of Life Cycle Transitions on Voluntary Association Membership." Social Forces, 78:1133-61.

Susan Eckstein. 2001. "Community as Gift-Giving: Collectivistic Roots of Volunteerism." American Sociological Review, 66:829-851.

March 24 Economic Theories of Nonprofits: Market Failure and the Non-distribution Constraint

*Peter Frumkin. 2002. On Being Nonprofit. Cambridge, MA: Harvard University Press, Chapter 3.

*Henry Hansmann. 1986. "The Role of Nonprofit Enterprise." In The Economics of Nonprofit Institutions: Studies in Structure and Policy, edited by Susan Rose-Ackerman. New York: Oxford University Press.

*Estelle James. 1986. "How Nonprofits Grow: A Model." In The Economics of Nonprofit Institutions: Studies in Structured and Policy, edited by Susan Rose-Ackerman. New York: Oxford University Press.

Henry Hansmann. 1996. "Nonprofit Firms." The Ownership of Enterprise. Cambridge, MA: The Belknap Press of Harvard University Press. Chapters 12.

Burt Weisbrod. 1988. "Options among Institutional Forms." The Nonprofit Economy. Cambridge, MA: Harvard University Press. Chapters 2.

March 31 Market Failure and the NDC: For-Profit and Nonprofit Differences

*Burt Weisbrod. 1998. "Institutional Form and Organizational Behavior." Pp. 69-84 in Private Action and the Public Good, edited by Walter W. Powell and Elisabeth S. Clemens. New Haven: Yale University Press.

*Galaskiewicz, Joseph, Olga Mayorova, and Beth Duckles. 2005. "The Role of Ownership Form in the Ecology of Youth Services." Working paper, Department of Sociology, University of Arizona.

Roomkin, M and Burton A. Weisbrod. 1999. "Managerial Compensation and Incentives in For-profit and Nonprofit Hospitals." Journal of Law, Economics and Organizations, 15:750-781.

Ballou, Jeffrey P. and Burton A. Weisbrod. 2003. "Managerial Rewards and the Behavior of For-profit, Governmental, and nonprofit Organizations: Evidence from the Hospital Industry." Journal of Public Economics, 87:1895-1920.

April 7 Economic Theories of Nonprofits: For-Profit and Nonprofit Relations

*Jerome Himmelstein. 1997. Looking Good and Doing Good: Corporate Philanthropy and Corporate Power. Bloomington, ID: Indiana University Press.

*Joseph Galaskiewicz. 1991. "Making Corporate Actors Accountable: Institution-Building in Minneapolis-St. Paul." In The New Institutionalism in Organizational Analysis, edited by Walter W. Powell and Paul J. DiMaggio. Chicago: University of Chicago Press.

Joseph Galaskiewicz. 1997. "An Urban Grants Economy Revisited: Corporate Charitable Contributions in the Twin Cities, 1979-81, 1987-89." Administrative Science Quarterly, 42:445-471.

Joseph Galaskiewicz and Michelle Sinclair. Forthcoming. "Collaboration between Corporations and Nonprofit Organizations." In The Nonprofit Sector: A Research Handbook (2nd edition), edited by Richard Steinberg and Walter W. Powell. New Haven, CT: Yale University Press.

Faith Kahn. 1997. "Pandora's Box: Managerial Discretion and the Problem of Corporate Philanthropy." UCLA Law Review, 44, No. 3: 579-676.

April 14 Economic Theories of Nonprofits: Supply Side Theories (Wolfgang Bielefeld visit)

*Peter Frumkin. 2002. On Being Nonprofit. Cambridge, MA: Harvard University Press, Chapter 5.

*Ben-Ner, Avner and Theresa Van Hoomissen. 1991. "Nonprofit Organizations in the Mixed Economy: A Demand and Supply Analysis." Annals of Public and Cooperative Economics, 62:519-550.

*Bielefeld, Wolfgang and James C. Murdoch. 2004. "The Locations of Nonprofit Organizations and Their For-Profit Counterparts: An Exploratory Analysis." Nonprofit and Voluntary Sector Quarterly, 33: 221-46.

Baum, Joel and Pamela Oliver. 1996. "Toward an Institutional Ecology of Organizational Founding." Academy of Management Journal, 39: 1378-1427.

April 21 Economic Theories of Nonprofits: The Role of Social Class

*Francie Ostrower. 1995. Why the Wealthy Give: The Culture of Elite Philanthropy. Princeton, NJ: Princeton University Press.

*Teresa Odendahl. 1990. Charity Begins at Home: Generosity and Self-Interest Among the Philanthropic Elite. New York: Basic Books. Chapters 3.

Paul G. Schervish and John J. Havens. 2001. "Wealth and the Commonwealth: New Findings on Wherewithal and Philanthropy." Nonprofit and Voluntary Sector Quarterly, 30:5-25.

April 28 NGOs Abroad: Running Dogs of Capitalism or Agents of Change (Rachael Neal visit)

*Lester M. Salamon and Helmut K. Anheier (eds.). 1997. Defining the Nonprofit Sector: A Cross-national Analysis. Manchester, GB: Manchester University Press. Chapters 1-3, 18.

Boli, John and George Thomas. 1997. "World Culture in the World Polity: A Century of International Non-Governmental Organizations." American Sociological Review 62: 171-190.

Sebastian Mallaby. 2004. "NGOs: Fighting Poverty, Hurting the Poor." Foreign Policy, September/October: 50-58.

Cleary, Seamus. 1996. "The World Bank and NGOs." In The Conscience of the World?: The Influence of Non-Governmental Organisations in the UN System edited by Peter Willetts. Washington, D.C.: The Brookings Institution.